



OFFICE of INSPECTOR GENERAL
NATIONAL RAILROAD PASSENGER CORPORATION

Via Electronic Mail

August 27, 2019

Ms. Emma Best
c/o MuckRock News
MuckRock News
DEPT MR 79445
411A Highland Ave
Somerville, MA 02144-2516
79445-44796202@requests.muckrock.com

Re: Freedom of Information Act Request (Request ID 2019-OIG-022)

Dear Ms. Best:

Amtrak's Office of the Inspector General (OIG) received your August 26, 2019 request for information made under the Freedom of Information Act (FOIA) seeking, *"a copy of the list or printout or database listing of Inspector General investigations closed/reports completed during calendar year 2015."*

In response, our office searched for records responsive to your request and, as a result, we have provided you with a case list of all investigative cases that were closed in calendar year 2015, which includes both preliminary and full investigations, as well as both substantiated and unsubstantiated cases.

The case list provides relevant information pertaining to these investigative cases, such as the case number, case title, the date the case was opened/closed, and the general type of misconduct/allegation as related to each case. All reasonably segregable portions of this case list are enclosed, while redacting/withholding certain information (e.g., the names of certain subjects) pursuant to FOIA Exemption 7(C).

Exemption 7(C) recognizes that law enforcement records are inherently more invasive of privacy than "personnel and medical files and similar files." Named individuals have substantial interests in nondisclosure of their identities and connection to particular investigations. In fact, the case law has long recognized, either expressly or implicitly, that *"the mention of an individual's name in a law enforcement file will engender comment and speculation and carries a stigmatizing connotation."*

You have the right to file an administrative appeal within 90 days of the date of this letter. By filing an appeal, you preserve your rights under FOIA and give the OIG a chance to review and reconsider your request and the decision. A copy of your initial request, a copy of this letter, and your statement of circumstances, reasons, and arguments should accompany your letter of appeal. Please address your letter of appeal to:

National Railroad Passenger Corporation
Office of Inspector General
10 G Street, NE, 3W-300
Washington, D.C. 20001
ATTN: FOIA Appeal

If you would like to discuss our response before filing an appeal to attempt to resolve your dispute without going through the appeals process, you may contact our FOIA Public Liaison for assistance. If you are unable to resolve your FOIA dispute through our FOIA Public Liaison, the Office of Government Information Services (OGIS), the Federal FOIA Ombudsman's office, offers mediation services to help resolve disputes.

We have not assessed any charges to you for processing this request. If you have any questions concerning this response to your request, please contact me.

Sincerely,

Nadine Bennett

Nadine J. Bennett
Associate Counsel
National Railroad Passenger Corporation (Amtrak)
Office of Inspector General

Enclosure

Cases Closed CY2015 1/1/2015 to 12/31/2015

Case Number	Case Title	Opened Date	Closing Date	Allegations
IL-15-0009-P	[REDACTED]	10/7/2014	1/5/2015	Drug Investigations
MA-12-0046-HL-I	[REDACTED]	11/8/2011	1/16/2015	Conflict of Interest; Kickbacks; Mismanagement
MA-12-0293-O	[REDACTED]	6/28/2012	2/18/2015	Fraud; Theft/Embezzlement
DC-13-0045-HL-O	[REDACTED]	10/26/2012	2/23/2015	Bribery
IL-14-0072-HL-O	[REDACTED]	12/2/2013	2/25/2015	Theft/Embezzlement
IL-12-0344-O	Amtrak Managers Improperly Providing Unearned Time-Off to Agreement Employees	8/10/2012	3/5/2015	False T&A Records
PA-14-0384-O	[REDACTED]	6/16/2014	3/23/2015	Theft/Embezzlement
IL-15-0233-P	[REDACTED] - Fraud	3/27/2015	4/6/2015	Fraud
PA-14-0291-O	[REDACTED]	4/1/2014	4/14/2015	GSA Fuel Card Fraud; Theft/Embezzlement
DC-14-0398-O	[REDACTED] PennFab, Inc.	6/30/2014	4/14/2015	Fraud
MA-15-0123-O	[REDACTED]	1/13/2015	4/20/2015	Violation of Amtrak Policy
DC-13-0190-HL-O	[REDACTED]	3/4/2013	4/28/2015	Computer Fraud; Violation of Amtrak Policy
IL-14-0395-O	[REDACTED]	6/25/2014	5/6/2015	Fraud
DC-14-0456-O	Proactive on Payroll and Overtime Abuse	8/19/2014	6/10/2015	False T&A Records
DC-15-0114-O	[REDACTED]	1/6/2015	6/10/2015	Violation of Amtrak Policy
DC-14-0241-O	Theft of 480v Cables	3/7/2014	6/17/2015	Theft/Embezzlement
PA-15-0011-O	CH2M Hill, Inc., URS Corporation	10/7/2014	6/22/2015	False Claims
PA-14-0457-O	[REDACTED], Innovative Hospitality Solutions	8/19/2014	6/24/2015	Bribery; Conflict of Interest
PA-15-0083-O	[REDACTED]	12/8/2014	6/29/2015	Fraud
IL-12-0355-O	Independent Mechanical Industries, Inc./Vargas Mechanical Construction, Inc.	8/22/2012	7/10/2015	Contract Fraud
IL-14-0452-O	Time Charge Irregularities	8/11/2014	7/10/2015	False T&A Records
MA-15-0193-HL-P	Thyssen Elevator Servicing	3/5/2015	7/10/2015	Non-Criminal Cases; Safety Issues
DC-15-0267-HL-P	[REDACTED] - NY Employee	4/22/2015	7/23/2015	Violation of Amtrak Policy
PA-15-0281-O	[REDACTED]	5/4/2015	7/23/2015	GSA Fuel Card Fraud; Theft/Embezzlement
PA-15-0048-HL-O	[REDACTED]	11/3/2014	8/6/2015	Violation of Amtrak Policy
DC-14-0243-O	[REDACTED]	3/7/2014	8/7/2015	Theft/Embezzlement
IL-15-0428-P	[REDACTED] - Complainant	8/3/2015	9/1/2015	Violation of Amtrak Policy
IL-15-0191-HL-O	[REDACTED] - Amtrak Employee	3/3/2015	9/4/2015	Non-Criminal Cases

DC-15-0342-HL-P		- Lodging Fraud	6/15/2015	9/4/2015	Fraud
CA-15-0253-Q	Kirman Plumbing Company		4/16/2015	9/5/2015	Contract Fraud
IL-15-0149-Q			2/6/2015	9/9/2015	Violation of Amtrak Policy
CA-15-0335-P			6/10/2015	9/18/2015	Violation of Amtrak Policy
DC-15-0460-HL-P		- Violation of Amtrak Policy	8/26/2015	9/18/2015	Violation of Amtrak Policy
DC-14-0299-Q			4/8/2014	9/22/2015	False Claims
PA-15-0076-Q	Direct Payments - Proactive Investigation		11/26/2014	9/24/2015	Procurement Irregularities
IL-13-0090-Q			12/6/2012	9/30/2015	Theft/Embezzlement; Violation of Amtrak Policy
MA-15-0288-Q			5/7/2015	10/13/2015	False T&A Records
PA-15-0036-Q			10/22/2014	11/6/2015	False Claims; Violation of Amtrak Policy
DC-15-0282-Q			5/4/2015	11/6/2015	False Claims
IL-16-0003-P			10/1/2015	11/6/2015	False Statements
DC-14-0257-Q	Maryland Orthopedics		3/12/2014	11/9/2015	Healthcare Fraud
DC-15-0465-HL-P		- Insurance Fraud	8/31/2015	11/9/2015	Fraud; Theft/Embezzlement
MA-14-0484-HL-Q		- False T&A	9/9/2014	12/3/2015	False T&A Records
DC-16-0010-HL-P			10/6/2015	12/16/2015	Violation of Amtrak Policy
DC-15-0189-Q			3/3/2015	12/23/2015	Child Pornography; Computer Crime
Total Records: 45					